

APPLICATION FOR UNITED STATES LETTERS PATENT

For

**A Method And Apparatus For Maintaining Exception
Reporting For Register Promotion**

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FILED FOR

A Method And Apparatus For Maintaining Exception Reporting For Register Promotion

FIELD OF THE INVENTION

[0001] This invention relates to compilers, and more particularly, to compilers that perform code optimization.

BACKGROUND

[0002] Compilers are programs that translate a source program written in a source language into a target program written in a target language. For example, a compiler may translate a program written in a high level language (such as C++) into compiled code that can be understood by a processor. During compiling a source program into a target program, certain optimizations can be made which can significantly improve running time and/or space requirements of the target program.

[0003] One technique of optimizing target code includes register promotion. With this technique, sections of code are identified in which it is safe to move values of data objects from memory to registers. These sections of code are then re-written in order to recall those values from the registers instead of from memory. Register promotion is regarded as a form of code optimization because instructions generated to access data objects in registers are more efficient than the same instructions accessing the data objects from memory.

[0004] Certain programming languages require exceptions to be accurately reported. This means that all effects of statements executed and expressions evaluated before the point at which an exception occurred should appear to have taken place.

[0005] One programming language requiring precise exception reporting is Java (™). Java (™) which was developed by Sun Microsystems, Inc. of Palo Alto, California has become very popular. One advantage of Java (™) is that it is a compact platform-neutral-object-oriented programming language that, when compiled, represents instructions as platform-independent byte codes. These byte codes can be translated using a compiler such as the Just-In-Time (™)(JIT) compiler, that converts the Java (™) byte codes into instructions within the native instruction set of a particular hardware platform.

[0006] Code optimization using register promotion, as discussed above can result in an imprecise exception. Because of the requirement of the precise exception reporting, during compilation of Java (™) code, the JIT compiler must ensure that any optimization transformation does not violate the precise exception reporting semantic.

[0007] One way of satisfying the precise exception reporting semantic is to analyze sections of the code in which it is desired to perform register promotion in order to determine whether a possibility of violating the semantic exists. If it exists then the register promotion transformation is not performed. This is a very conservative approach which leaves the original code in unoptimized form.

BRIEF DESCRIPTION OF THE DRAWINGS

[0008] **Figure 1** shows an architectural drawing of a virtual machine in which the invention may be practiced;

[0009] **Figure 2** shows a flow chart illustrating the compilation of byte codes into native machine code, in accordance with one embodiment of the invention.

[0010] **Figure 3** shows a flow chart illustrating register promotion in greater detail.

[0011] **Figure 4** shows a flow chart illustrating program control during execution of a Java try block, after compilation.

[0012] **Figure 5** shows a diagrammatic representation of exemplary hardware within which a set of instructions according to the methodology of the invention may be executed.

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DETAILED DESCRIPTION

[0013] The invention provides a method for use in the compilation of a source code program into native machine code. Although the invention may be used in the compilation of any source code program into native machine code, the invention will be described with reference to the compilation of a source code program written in the Java (™) programming language into native machine code. The invention may be used using a static or dynamic compiler. Further, the invention may be implemented in a binary translator.

[0014] In one embodiment, prior to run time, Java (™) application code and supporting libraries are translated to an intermediate form called byte codes. This is typically accomplished using a standard Java (™) translator, such as Javac. At run time, these byte codes are dynamically downloaded to a target system via a run time environment called a Virtual Machine (VM). A typical target system **10** is illustrated in **Figure 1** of the drawings. The target system **10** includes system hardware **12** which is controlled by an operating system **14**. The various components making up system hardware **12** are described with reference to **Figure 5** of the drawings. The system **10** comprises a Java Virtual Machine **16** which includes a Just-In-Time Java compiler **18**. The Java Just-In-Time compiler **18** translates Java byte code **20** residing in a .class file into native machine code **22**, the Java byte code **20** having been generated by a Java compiler **24** e.g. JAVAC from a source code program **26**. The native machine code **22** can be executed directly by the system hardware **12**.

[0015] One feature of the Java (™) language is that it requires accurate exception reporting. This means that when an exception occurs, statements executed and expressions evaluated before the point from which the exception occurred should appear to have taken place. As part of its syntax, Java (™) includes catch and try statements which can be used by a programmer in order to satisfy this requirement of accurate exception reporting.

[0016] Briefly, a programmer creates a try statement which encapsulates a block of code which has one or more associated catch clauses for catching exceptions occurring during execution of the block. When an exception is encountered during execution of the try block, program control will be transferred to the first associated catch clause and thereafter to each successive associated catch clauses in turn, until an appropriate catch clause for handling the type of exception is found.

[0017] As an example, consider the piece code shown below, written in the Java (™) programming language.

```
class A {
    public int sum;
}
class excp {
    public static void main (String[ ] arg) {
        int a_arr [ ] = new int [10];
        int b_arr [ ] = {0, 1, 2, 3, 4, 5, 6, 7, 8, 9};
        A a = new A ( );
        try {
            for (int i = 0; i < 11; i++) {
                a_rr = a.sum;
                a.sum = a.sum + b_arr [i];
            }
        }
        catch (ArrayIndexOutOfBoundsException e) {
            if (a.sum == 45)
                System.out.println ("correct" + a.sum);
            else
                System.out.println ("fail" + a.sum);
        }
    }
}
```

[0018] The code shows a loop within a try-block initializing a_arr and summing up b_arr. Within the loop, an Array IndexOutOfBoundsException occurs in the last iteration (i=10). The catch block catches the ArrayIndexOutOfBoundsException and examines object a's field (sum) to see if the sum is correct.

[0019] In the above example, every a.sum instance is a field access.

Typically in practice, a conventional Just-In-Time compiler would turn each field access into a memory access. This is typically undesirable as generating memory accesses creates the potential possibility of cache misses and may result in longer latencies than register accesses. It is thus desirable to turn all a.sum accesses into register accesses.

[0020] One embodiment of the invention uses a technique known as register promotion which allows a value which normally resides in memory to reside in a register for sections of the program. Within those sections of the program, references to the value are rewritten to refer to the register.

[0021] In one embodiment, a register promotion transformation for the try block shown above is shown below:

```

r_sum = a.sum; // (1)
for (int i = 0; i < 11; i++) {
    a_arr[i] = r_sum; // (2)
    r_sum = r_sum + b_arr[i];
}
a.sum = r_sum; // (3)

```

[0022] The transformation initializes a register r_sum with a.sum at the loop entry (see line (1)), replaces all a.sum references within the loop with r_sum and (see line (2)), stores the value r_sum back to a.sum at the end of the loop (see line (3)).

[0023] It will be appreciated that the above transformation leaves the object “a” in an inconsistent memory state within the loop. Thus, if an exception occurs, for example an IndexOutOfBoundsException exception, in the loop then the exception reporting semantic will be violated. According to one aspect of the invention, compensation code is generated which sets a.sum to r_sum whenever an exception occurs in the loop.

[0024] Referring now to **Figure 2** of the drawings, a high level flow chart is shown illustrating one embodiment of the invention. At **100** the native code **22**,

corresponding to the original byte code **20**, is examined in order to identify a block with which to do register promotion. At **102** a register promotion transformation is applied to the identified block. At **104** compensation code is generated in the native code **22** and at **106** a general exception handler is generated in the native code **22** to catch general exceptions as will be described in detail below.

[0025] The details of doing register promotion according to one embodiment is illustrated in the flow diagram of **Figure 3**, in which the try block example has been chosen as the identified block in order to facilitate discussion. Referring to **Figure 3**, at **200** the native code **22** corresponding to the try block is re-written or cloned. In other words, the native code **22** corresponding to the register promotion transformation shown above is generated. At **202** code to initialize the registers is generated which includes code to determine whether or not an exception occurred during register initialization such as, for example, the null pointer exception. At **204** native code to handle exceptions which occur during register initialization is generated.

[0026] The flow diagram of **Figure 4** illustrates one embodiment of program control during execution of the try block example in native code. At **400** a register initialization step is performed in which `r_sum` is set to `a.sum`. At **402** a determination is made as to whether or not any exceptions occurred during register initialization. For example a null pointer exception could occur during register initialization. If this is the case then at **404** the native code to handle exceptions occurring during register initialization is executed which in this example causes the original loop to be executed instead of the cloned loop. If no register initialization error occurred then at **406** the cloned loop which includes the register promotion transformation is executed. Upon the occurrence of exceptions e.g. `ArrayIndexOutOfBoundsException`, program control is transferred to dedicated exception handlers which have been modified to include compensation code: `"a.sum=r_sum"` at **408** in accordance with the invention. At **410** a dedicated exception handler is executed which consists of the compensation

code: "a.sum=r_sum" and the statement "athrow". If no exception occurred during execution of the cloned try block loop then at **412** a.sum is set r_sum and the loop exits normally.

[0027] Referring now to **Figure 5** of the drawings, various components making up system hardware **12** are shown in block form. Referring to **Figure 5** of the drawings it will be seen that system hardware **12** includes a memory **502**, which may represent one or more physical memory devices, which may include any type of random access memory (RAM) read only memory (ROM) (which may be programmable), flash memory, non-volatile mass storage device, or a combination of such memory devices. The memory **502** is connected via a system bus **510** to a processor **500**. The memory **502** includes instructions **504** which when executed by the processor **500** cause the processor to perform the methodology of the invention as discussed above. Additionally the system **12** includes a disk drive **506** and a CD ROM drive **508** each of which is coupled to a peripheral-device and user-interface **512** via bus **510**. Processor **500**, memory **502**, disk drive **506** and CD ROM **508** are generally known in the art. Peripheral-device and user-interface **512** provide an interface between system bus **510** and various components connected to a peripheral bus **516** as well as to user interface components, such as display, mouse and other user interface devices. A network interface **514** is coupled to peripheral bus **516** and provides network connectivity to system **12**.

[0028] For the purposes of this specification, a machine-readable medium includes any mechanism that provides (i.e. stores and/or transmits) information in a form readable by a machine (e.g. computer) for example, a machine-readable medium includes read-only memory (ROM); random access memory (RAM); magnetic disk storage media; optical storage media; flash memory devices; electrical, optical, acoustical or other form of propagated signals (e.g. carrier waves, infra red signals, digital signals, etc.); etc.

[0029] It will be apparent from this description the aspects of the present invention may be embodied, at least partly, in software. In other embodiments,

hardware circuitry may be used in combination with software instructions to implement the present invention. Thus, the techniques are not limited to any specific combination of hardware circuitry and software.

[0030] Although the present invention has been described with reference to specific exemplary embodiments, it will be evident that various modification and changes can be made to these embodiments without departing from the broader spirit of the invention as set forth in the claims. Accordingly, the specification and drawings are to be regarded in an illustrative sense rather than in a restrictive sense.

Attorney